



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	09/397,008-Conf. #8229
				Filing Date	September 15, 1999
				First Named Inventor	Lawrence M. Ausubel
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	21736-00012-US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²		
FP	CA	G. DEMANGE, et al., "Multi-Item Auctions", Journal of Political Economy, Vol. 94, No. 4, 1986, pages 863-872			
FP	CB	F. GUL, et al., "English Auctions with Multiple Goods", Princeton University and the University of Michigan, Mimeo, October 12, 1995, pages 1-20			
FP	CC	R. P. McAFEE, et al., "Auctions and Bidding", Journal of Economic Literature, Vol. 25, June 1987, pages 699-738			
FP	CD	K. A. McCABE, et al., "Testing Vickrey's and Other Simultaneous Multiple Unit Versions of the English Auction", Research in Experimental Economics, Vol. 4, Greenwich, CT: JAI Press, 1991, pages 45-79			
FP	CE	P. R. MILGROM, et al., "A Theory of Auctions and Competitive Bidding" Econometrica, Vol. 50, No. 5, September 1982, pages 1089-1122			
FP	CF	W. VICKREY, "Auctions and Bidding Games", Recent Advances in Game Theory, Princeton: Princeton University Conference, 1962, pages 15-27			
FP	CG	W. VICKREY, "Auctions, Markets, and Optimal Allocation", Bidding and Auctioning for Procurement and Allocation, New York: New York University Press, 1976, pages 13-20			
FP	CH	R. WILSON, "Auction of Shares", Quarterly Journal of Economics, Vol. 94, 1976, pages 675-689			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature	F B INUD	Date Considered	3/29/04
-----------------------	----------	--------------------	---------